

PATENT



ATTORNEY DOCKET NO. TUIN.002.05US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Michael Wigler, *et al.*

Serial No.: 09/935,367

Filed: August 22, 2001

For: A REPRESENTATIONAL APPROACH  
TO DNA ANALYSIS

) Examiner:

) Art Unit:

) PETITION FOR SUSPENSION OF  
) RULES UNDER 37 C.F.R. § 1.183

BOX SN

Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED

JAN 27 2004

OFFICE OF PETITIONS

Sir:

Pursuant to 37 C.F.R. § 1.183, Applicants hereby petition for a suspension of that portion of 37 C.F.R. § 1.53(b) pertaining to the filing date of a non-provisional application, specifically "[t]he filing date of an application for patent filed under this section...is the date on which a specification...and at least one claim...are filed in the Patent and Trademark Office". For the required fee, see *Transmittal*.

Action requested

Applicants respectfully request that the filing date for the above referenced patent application be set back by one day to August 21, 2001, so that the above referenced application is copending with parent application, Serial No. 09/261,079, which issued as Patent No. 6,277,606 on August 21, 2001.

12/05/2001 AWONDAF1 00000020 09935367

01 FD:122

130.00 OP

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on October 22, 2001

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

*Jeffrey M. Libby*  
Jeffrey M. Libby

Statement of facts and points to be reviewed

1. On March 2, 1999, application no. 09/261,079 (the "parent" application; Exhibit 1) was filed under 37 C.F.R. 1.53(b). Attached to the application (and included in Exhibit 1) was a *Revocation and Appointment of New Power of Attorney*, executed February 24, 1999, which named Barbara Rae-Venter, Reg. No. 32,750, and other registered agents and attorneys as "attorneys with full power of substitution and revocation to prosecute this application", and requested "that all correspondence regarding this application be sent to the firm of:  
  
Rae-Venter Law Group, P.C.  
P.O. Box 60039  
Palo Alto, CA 94306"
2. On October 6, 1999, Applicants filed a Request for Correction of Filing Receipt for the parent application, along with a copy of the *Revocation and Appointment of New Power of Attorney* filed with the application (Exhibit 4). The Filing Receipt had been addressed to Flehr, Hohbach, Test, Albritton & Herbert (hereafter "Flehr"), the attorneys empowered for a previously filed application, from whom appointment had been revoked. On October 13, 1999, Applicants filed another Request for Correction of Filing Receipt and another copy of the previously file *Revocation and Appointment of New Power of Attorney* (Exhibit 5). These actions by Applicants constituted the second and third attempts to notify the Patent and Trademark Office of the properly designated attorney of record and correspondence address for parent application no. 09/261,079.
3. A delay in the issuance of the parent application was brought about by a Patent and Trademark Office error. The Notice of Allowance (Exhibit 8, sent to Flehr) included a request for formal drawings (Item 7): "Applicant must submit new formal drawings because the originally filed drawings were declared by applicant to be informal." However, as Applicants pointed out in their Communication of November 29, 2000 (Exhibit 10), this statement was in error, as Applicants had stipulated at the time of filing

that the submitted drawings were formal (see Exhibit 10).

4. On November 29, 2000, Applicants paid the Issue Fee with Form PTOL 85-B and indicated as the correspondence address Rae-Venter Law Group P.C. (Exhibit 9). On March 1, 2001, the Patent and Trademark Office. communicated (to Flehr) that, in response to the Communication filed November 29, 2000, new formal drawings were not required.
5. On July 26, August 1 and August 9, 2001, while Applicants were preparing documents for filing a continuing application under 37 C.F.R. §1.53(b) claiming benefit from the parent application, the Patent and Trademark Office was telephoned on three occasions to determine whether the issue date for the parent application was known and available. Applicants were told that there was no indication as to when the parent application would issue as a patent (see Telephone Reports, Exhibit 12).
6. On August 13, 2001, Flehr received an Issue Notification for application no. 09/261,079 (Exhibit 13).
7. On August 22, 2001, Applicants telephoned the Patent and Trademark Office again, and were told that parent application no. 09/261,079 had issued as patent No. 6,277,606 the previous day. Up to this point, Applicants had received no indication as to when the parent application would issue as a patent. Applicants proceeded to file the instant application 09/935,367 that same day, August 22, 2001.
8. On August 22, 2001, or one day after patent No. 6,277,606 had issued, Flehr mailed the Issue Notification to Rae-Venter Law Group (see postmark, Exhibit 13).
9. In summary, Flehr's power of attorney was revoked by Applicants as indicated at the time that the parent application was filed. In spite of this, the Office records indicated

that Flehr was the attorney of record, even though this was not the case *at any point* in the prosecution of the parent application. The Patent and Trademark Office was given numerous opportunities to correct their records to reflect the proper attorney of record and to correct the correspondence address. The *Revocation and Appointment of New Power of Attorney* that was filed with the application clearly indicated the correspondence address of Rae-Venter Law Group P.C., which address was submitted *three* times. ALL correspondence regarding parent application no. 09/261,079 addressed to the Patent and Trademark Office was sent from the firm of Rae-Venter Law Group, P.C. and signed by registered agents or attorneys employed at that firm. This included all responses to Office actions and the Issue Fee payment, Exhibit 9, *which was altered by applicants to show the correct correspondence address* on form PTOL-85-B. The Notice to Comply (Exhibit 2) Office actions (Exhibits 3 and 6), two Communications (Exhibit 7 and 11), a Notice of Allowance (Exhibit 8), and the Issue Notification (Exhibit 13) all indicated an incorrect attorney and mailing address for the parent application.

Applicants persisted in their efforts to ascertain the issue date of application no. 09/261,079, as late as 12 days prior to issuance, and were repeatedly told that there was no issue date or patent number and to call back. Applicants failure to obtain information concerning the issue date was in no way due to Applicants' error or lack of effort. Rather the error lies with the Office and Flehr. The Office repeatedly erred in failing to correct their records and additionally failing to give out accurate information concerning the issue date. Flehr failed to forward the issue notification in a timely manner.

10. By this petition, Applicants respectfully request that application no. 09/261,079 and application no. 09/935,367 be made copending by suspending that portion of 37 C.F.R. §1.53(b) in which the filing date is specified as "the date on which a specification ... and at least one claim ... are filed in the Patent and Trademark Office", and resetting the filing date of the instant application to August 21, 2001, one day earlier than the date on which the instant application was express mailed to the Office.

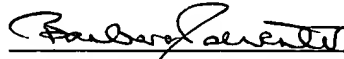
Serial No.: 09/935,367

Page 5

Should there be any questions concerning this petition, the Office is requested to call the undersigned attorney at 650 328 4400.

Respectfully submitted,

Date: October 22, 2001



Barbara Rae-Venter, Ph.D.

Reg. No. 32,750

Rae-Venter Law Group, P.C.

P. O. Box 60039

Palo Alto, CA 94306

Telephone: (650) 328-4400

Facsimile: (650) 328-4477

BRV/JML

EXH (1)  
S. 1.0

PATENT

Docket No: CSHL.002.04US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wigler *et al.*  
Serial No.: Not Yet Assigned  
Filed: March 2, 1999  
Title: A REPRESENTATIONAL APPROACH TO DNA ANALYSIS

Examiner: Not Yet Assigned  
Art Unit: Not Yet Assigned

EL082499276US

BOX PATENT APPLICATION  
Assistant Commissioner For Patents  
Washington, D.C. 20231

Sir:

This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors: Michael Wigler and Nikolai Lisitsyn.

For: A REPRESENTATIONAL APPROACH TO DNA ANALYSIS

This application is a ☒ Continuation ☐ Divisional ☐ Continuation-in-part of Application Serial No. 08/478,242, filed June 7, 1995.

Application Elements:

42 Pages of Specification, Claims and Abstract

4 Sheets of Formal Drawings

☒ Declaration

☐ Newly executed (original or copy)

☒ Copy from prior application (37 CFR 1.63(d) for a continuation or divisional).

The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure

"Express Mail" Label No.: EL082499276US

Date of Deposit: 3-2-99

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C., 20231.

Michael Foster  
(Signature)  
Michael Foster  
(Printed Name)

of the accompanying application and is hereby incorporated by reference therein.

- ☐ Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, *see* CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☒ copy of Power of Attorney from prior application.
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form 1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☒ Small Entity Statement(s) ☒ Statement filed in prior application. Status still proper and desired.
- ☒ Other:  
copy of Revocation and Appointment of New Power of Attorney from prior application.

Claim For Foreign Priority

- ☐ Priority of \_\_\_\_\_ Application No. \_\_\_\_\_ filed on \_\_\_\_\_ is claimed under 35 U.S.C. § 119
- ☐ The certified copy has been filed in prior application U.S. Application No. \_\_\_\_\_
- ☐ the certified copy will follow.

Extension of Time for Prior Pending Application

- ☐ A Petition for Extension of Time is being concurrently filed in the prior pending application. A copy of the Petition for Extension of Time is attached.

Amendments

- ☒ Amend the specification by inserting before the first line the sentence: "This is a ☒ Continuation ☐ Continuation-in-part ☐ Divisional of Application No. 08/478,242 filed on June 7, 1995, which is a continuation of Application No. 08/149,199, filed November 9, 1993, which is a continuation-in-part of Serial No. 07/974,447, filed November 12, 1992.
- ☐ International Application \_\_\_\_\_ filed on \_\_\_\_\_, which designated the United States, disclosure of which is incorporated herein by reference."
- ☒ Cancel in this application original Claims 1-16 of the prior application before calculating the filing fee

Fee Calculation (37 CFR § 1.16)

				<u>Small Entity</u>		<u>Large Entity</u>	
<u>Basic Fee</u>				\$380		\$760	
<u>Claims Fee</u>	No. of Claims Remained after Amend	<u>No. Claims Included In Basic Fee</u>	Pres. Extra	<u>Rate</u>	<u>Fee</u>	<u>Rate</u>	<u>Fee</u>
Total:	2	20	0	x \$9	= \$-0-	x \$18	= \$
Indep:	2	3	0	x \$39	= \$-0-	x \$78	= \$

If Multiple Dependent Claims  
are used for the first time in this application, add \$130 (small entity) \$260 (large entity).

**TOTAL FEES:** **\$380**

- ☒ A check including the amount of the above-indicated TOTAL FEES is attached.
- ☐ Please charge Deposit Account No.18-0020 in the amount of \$.
- ☒ A check in the amount of \$380.00 is attached.
- ☐ No fee is required.
- ☒ Conditional Petition for Extension of Time: An extension of time is requested in the present and/or the above-referenced parent application to provide for timely filing if an extension of time is still required after all papers filed with this transmittal have been considered.
- ☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.
- ☒ Any filing fees under 37 CFR 1.16 including fees for the presentation of extra claims.
- ☒ Any parent application processing fees under 37 CFR 1.17.
- ☒ A duplicate copy of this sheet is attached for accounting purposes.



Respectfully submitted,

Dated: March 2, 1999

By: Barbara Rae-Venter  
Barbara Rae-Venter, Ph.D.  
Reg. No. 32,750

Rae-Venter Law Group, P.C.  
P.O. Box 60039  
Palo Alto, California 94306  
Telephone: (650) 328-4400  
Facsimile: (650) 328-4477

BRV:mef  
Encls.

**PATENT**

**ATTORNEY DOCKET NO. CSHL.002.02US**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: **Michael Wigler et al.** )  
 ) Examiner: Campbell, E.  
Serial No.: **08/478,242** )  
 ) Art Unit: 1807  
Filed: **June 7, 1995** )  
 )  
For: **Methods for producing probes capable of** ) **REVOCATION AND**  
**distinguishing DNA from related sources** ) **APPOINTMENT OF NEW POWER**  
 ) **OF ATTORNEY**

---

Box Issue Fee  
Assistant Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

The undersigned, an authorized representative of Cold Spring Harbor Laboratory, having its principal place of business at One Bungtown Road, Cold Spring Harbor, NY 11724, being assignee of 100% interest of this application, the Assignment being recorded at Reel 6947, Frame 0347, hereby revokes all powers of attorney previously granted in this application and hereby appoints

Barbara Rae-Venter	Reg. No. 32,750
Bertram I. Rowland	Reg. No. 20,015
Ofer Matalon	Reg. No. 39,439

as attorneys with full power of substitution and revocation to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and hereby request that all correspondence regarding this application be sent to the firm of:

**CERTIFICATE OF FIRST-CLASS MAILING**

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Issue Fee, Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on \_\_\_\_\_ (Date)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Printed Name)

Rae-Venter Law Group, P.C.

P. O. Box 60039  
Palo Alto, CA 94306

Telephone No.: 650-328-4400  
Facsimile No.: 650-328-4477

The undersigned has reviewed the chain of title and to the best of the undersigned's knowledge,  
title is in the assignee identified above.

Date: 2/24/99

By: John Maroney  
Title: John Maroney  
~~Assistant Administrative Director~~

Rae-Venter Law Group, P.C.  
P. O. Box 60039  
Palo Alto, CA 94306  
Telephone No. 650-328-4400  
Facsimile No. 650-328-4477

BRV/mnb

The following has been received in the U.S. Patent Office on the date stamped hereon:

<input checked="" type="checkbox"/> Patent Application, <u>35</u> pps. specification, <u>6</u> pps claims, <u>1</u> pps abstract	<input checked="" type="checkbox"/> Executed/ <del>unexecuted</del> Declaration/ <del>PSA</del> <sup>copy of</sup> <u>4</u> PoA <sup>FROM PARENT CASE</sup>
<input checked="" type="checkbox"/> Drawings: <u>4</u> sheets of <del>informal</del> formal	<input type="checkbox"/> Assignment
<input type="checkbox"/> Rule 60 Application	<input type="checkbox"/> Verified Small Entity Statement
<input type="checkbox"/> Rule 62 Application (FWC)	<input type="checkbox"/> Rule 132 Declaration
<input checked="" type="checkbox"/> Response/Amendment	<input type="checkbox"/> 312 Amendment after Allowance
<input checked="" type="checkbox"/> Issue Fee Transmittal	<input type="checkbox"/> Notice to File Missing Parts of App.
<input type="checkbox"/> Notice of Appeal	<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Appeal Brief(3 copies) <u>    </u> pages	<input type="checkbox"/> Petition for Extension of Time
<input checked="" type="checkbox"/> PTO Box # <u>PATENT APPLICATION</u> Status Inquiry	<input type="checkbox"/> Certificate of Correction
<input checked="" type="checkbox"/> Express Mail Label <u>E4082499276</u> <sup>USPS</sup> Check No. <u>7828</u> in amount of <u>\$380</u>	<input type="checkbox"/> IDS Transmittal, IDS Statement
<input checked="" type="checkbox"/> Other <u>copy of PoA FROM PARENT CASE</u>	PTO-1449 [No. of References <u>    </u> ]
<u>RETURN POSTAGE COPY OF REVISED NEW PoA FROM PARENT CASE</u>	
Serial No.: <u>NYA</u>	Attorney Docket No.: <u>ESHC-002-0465</u>
Attorney/Secretary: <u>BPU/MSE</u>	Mailing Date: <u>3-2-99</u> <sup>(602)</sup> <u>MSA</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Wigler *et al.*) DECLARATION OF MAILING

Serial No.: Not Yet Assigned

) "EXPRESS MAIL"

Filed: March 2, 1999

)

)

For: **A REPRESENTATIONAL APPROACH  
TO DNA ANALYSIS**

)

)

)

)

Assistant Commissioner for Patents  
Washington, D.C. 20231DECLARATION OF MAILING BY "EXPRESS MAIL"

I, Marian Foster, declare as follows:

1. I reside at 2608 Thornhill Dr., San Carlos, CA, 94070, and I am an employee of **RAE-VENTER LAW GROUP, P.C.**, 260 Sheridan Avenue, Suite 440, Palo Alto, California 94306.

2. On March 2, 1999, I deposited in the mail, "Express Mail Post Office to Addressee," service of the United States Postal Service, the contents of the envelope for v "Express Mail," receipt No. ELO82499276US was issued and addressed to:

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

3. Attached hereto is a true and correct copy of the "Express Mail" Receipt No. ELO82499276US.

I hereby declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the above-identified application of any patent issued thereon.

Date: 3-4-99

Marian Foster  
Marian Foster

EL082499276US



\* EL082499276US \*

POST OFFICE TO ADDRESSEE

<b>ORIGIN (POSTAL USE ONLY)</b>		
PO ZIP Code 04128	Day of Delivery <input checked="" type="checkbox"/> Next <input type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
Date In 2-2-99 No. Day Year	<input type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Postage \$ 15.75
Time In <input type="checkbox"/> AM <input checked="" type="checkbox"/> PM	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee
Weight lbs. 11 ozs.	Int'l Alpha Country Code	COD Fee Insurance Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials	Total Postage & Fees \$ 15.75

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RAE-VENTER LAW GROUP, P.C. PO BOX 60039 PALO ALTO CA 94306-0039  LCSHC.002.04115		BOY - PAT PAT APPLICATION ASSISTANT COUNTY CLERK FOR PATENTS WASHINGTON, D.C. 20531	

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*[Handwritten signature]*

DOCKETED  
8/6/99



UNITED STATES DEPARTMENT OF COMMERCE  
Patent & Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARK  
Washington, D.C. 20231

EXH ②

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/261,079	03/02/99	WIGLER	M CSHL.002.04U

0242/0322

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT  
FOUR EMBARCADERO CENTER  
SUITE 3400  
SAN FRANCISCO CA 94111

NOT ASSIGNED

1635

DATE MAILED: 03/22/99

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application fails to comply with the requirements of 37 CFR 1.821 - 1.825.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
- ☐ 7. OTHER: \_\_\_\_\_

**APPLICANT MUST PROVIDE:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing."
- ☒ An initial or substitute paper copy of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

**FOR QUESTIONS REGARDING COMPLIANCE WITH THESE REQUIREMENTS, PLEASE CONTACT:**

- ☐ For Rules Interpretation, call (703) 308-1123.
- ☐ For CRF submission help, call (703) 308-4212.
- ☐ For PatentIn software help, call (703) 308-6856.

*D. M. H. K. in T-4*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



EXH(3)  
**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
097261,079	03/02/99	WIGLER	CSHL.002.040

HM22/0624  
FLEHR HOHBACH TEST  
ALBRITTON & HERBERT  
FOUR EMBARCADERO CENTER  
SUITE 3400  
SAN FRANCISCO CA 94111

**DOCKETED**  
3/9/99

EXAMINER  
CAMPBELL, E

ART UNIT  
1653

PAPER NUMBER  
7

DATE MAILED: 06/24/99

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

**RECEIVED**

JUL 08 1999

BARBARA HAE-VENTER



PATENT

**DOCKETED**

10/13/99 jsg

file

EXH. (4)

ATTORNEY DOCKET NO. CSHL.002.04US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: **Michael Wigler et al.**

Serial No.: **09/261,079**

Filed: **March 2, 1999**

For: **A REPRESENTATIONAL APPROACH  
TO DNA ANALYSIS**

)

) Examiner: Campbell, E.

) Art Unit: 1653

)

)

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**REQUEST FOR CORRECTION OF  
FILING RECEIPT**

**Application Processing Division - Customer Correction Branch**

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.53(a), (b) and 1.54(b), please issue a corrected filing receipt for this application. A copy of the incorrect receipt, with the changes noted thereon, is attached for reference. The corrected filing receipt should read:

**Rae-Venter Law Group, P.C.**

**P.O. Box 60039**

**Palo Alto, CA 94306**

Title:

**A Representational Approach to DNA Analysis**

The error was committed after submission of the application to the Patent and Trademark Office, as evidenced by the attached copy of (1) the Revocation and Appointment of New Power of Attorney from the prior application which was filed with the application papers in the above-identified application and (2) the return receipt postcard.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on 10/6/99.

Signature: Jacob Zweig


Printed Name: JACOB ZWELG

Barbara Rae-Venter, Ph.D.  
October 4, 1999  
Page 2

No fee is believed due. However, if a fee is due, the Commissioner is hereby authorized to charge any underpayment of the fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to our Deposit Account 18-0020.

Respectfully submitted,

Dated: October 5, 1999

  
\_\_\_\_\_  
Barbara Rae-Venter, Ph.D.  
Reg. No. 32,750

Rae-Venter Law Group, P.C.  
P. O. Box 60039  
Palo Alto, CA 94306  
Telephone: (650) 328-4400  
Facsimile: (650) 328-4477

BRV/jsz  
Encl.

PATENT

ATTORNEY DOCKET NO. CSHL.002.02US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Michael Wigler *et al.***

Serial No.: **08/478,242**

Filed: **June 7, 1995**

For: **Methods for producing probes capable of  
distinguishing DNA from related sources**

)

) Examiner: Campbell, E.

)

) Art Unit: 1807

)

)

) **REVOCATION AND**

) **APPOINTMENT OF NEW POWER**

) **OF ATTORNEY**

**COPY**

Box Issue Fee

Assistant Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

The undersigned, an authorized representative of Cold Spring Harbor Laboratory, having its principal place of business at One Bungtown Road, Cold Spring Harbor, NY 11724, being assignee of 100% interest of this application, the Assignment being recorded at Reel 6947, Frame 0347, hereby revokes all powers of attorney previously granted in this application and hereby appoints

Barbara Rae-Venter  
Bertram I. Rowland  
Ofer Matalon

Reg. No. 32,750  
Reg. No. 20,015  
Reg. No. 39,439

as attorneys with full power of substitution and revocation to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and hereby request that all correspondence regarding this application be sent to the firm of:

**CERTIFICATE OF FIRST-CLASS MAILING**

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Issue Fee, Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on \_\_\_\_\_.(Date)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Printed Name)

Rae-Venter Law Group, P.C.

P. O. Box 60039  
Palo Alto, CA 94306

Telephone No.: 650-328-4400  
Facsimile No.: 650-328-4477

The undersigned has reviewed the chain of title and to the best of the undersigned's knowledge,  
title is in the assignee identified above.

Date: 2/24/99

By: John Maroney  
Title: John Maroney  
~~Assistant Administrative Director~~

Rae-Venter Law Group, P.C.  
P. O. Box 60039  
Palo Alto, CA 94306  
Telephone No. 650-328-4400  
Facsimile No. 650-328-4477

BRV/mnb

# COPY

The following has been received in the U.S. Patent Office on the date stamped hereon:

☒ Patent Application, 35 pps.  
specification, 6 pps claims,  
1 pps abstract

☒ Drawings: 4 sheets of  
~~informal~~/formal

☐ Rule 60 Application

☐ Rule 62 Application (FWC)

☒ ~~Response~~ Amendment

☒ ~~Issue Fee~~ Transmittal

☐ Notice of Appeal

☐ Appeal Brief(3 copies)      pages

☒ PTO Box # PATENT APPLICATION Status Inquiry

☒ Express Mail Label E6082499276 Check No. 1825 in amount of \$380.-

☒ Other COPY OF POA FROM PARENT CASE.

PROJECTED COPY OF REV & AMT NEW POA FROM PARENT CASE

Serial No.: NYA

Attorney Docket No.: ES41-002-0465

Attorney/Secretary: BRU/MSE

Mailing Date: 3-2-99

1825 MSA

COPY OF 4 POA  
☒ Executed/~~unexecuted~~ Declaration/~~POA~~  
FROM PARENT CASE  
☐ Assignment  
☐ Verified Small Entity Statement  
☐ Rule 132 Declaration  
☐ 312 Amendment after Allowance  
☐ Notice to File Missing Parts of App.  
☐ Information Disclosure Statement  
☐ Petition for Extension of Time  
☐ Certificate of Correction  
☐ IDS Transmittal, IDS Statement  
PTO-1449 [No. of References     ]

COPY

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trade Mark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/261,079	03/02/99	1635	\$380.00	CSHL.002.04U	4	18	4

~~FLEHR HOHBACH TEST~~  
~~ALBRITTON & HERBERT~~  
~~FOUR EMBARCADERO CENTER~~  
~~SUITE 3400~~  
~~SAN FRANCISCO CA 94111~~

RAE-VENTER LAW GROUP, P.C.  
P.O. BOX 60039  
PALO ALTO, CA 94306

RECEIVED

APR 02 1999

BARBARA RAE-VENTER

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

MICHAEL WIGLER, LLOYD HARBOR, NY; NIKOLAI LISITSYN,  
COLD SPRING HARBOR, NY.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CON OF 08/478,242 06/07/95 PAT 5,876,929  
AND A CIP OF 07/974,447 11/12/92 PAT 5,436,142

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 03/19/99 \*\* SMALL ENTITY \*\*  
TITLE

A REPRESENTATIONAL APPROACH TO DNA ANALYSIS

PRELIMINARY CLASS: 435

DOCKETED  
4/15/99 LEV

DATA ENTRY BY: MARTIN, DIANE

TEAM: 04 DATE: 03/19/99

The following has been received in the U.S. Patent Office on the date stamped hereon:

<input type="checkbox"/> Patent Application, ___ pps. specification, ___ pps claims, ___ pps abstract	<input type="checkbox"/> Executed/unexecuted Declaration/POA
<input type="checkbox"/> Drawings: ___ sheets of informal/formal	<input type="checkbox"/> Assignment
<input type="checkbox"/> Rule 60 Application	<input type="checkbox"/> Verified Small Entity Statement
<input type="checkbox"/> Rule 62 Application (FWC)	<input type="checkbox"/> Rule 132 Declaration
<input type="checkbox"/> Response/Amendment	<input type="checkbox"/> 312 Amendment after Allowance
<input type="checkbox"/> Issue Fee Transmittal	<input type="checkbox"/> Notice to File Missing Parts of App.
<input type="checkbox"/> Notice of Appeal	<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Appeal Brief(3 copies) ___ pages	<input type="checkbox"/> Petition for Extension of Time
<input checked="" type="checkbox"/> PTO Box # _____	<input type="checkbox"/> Certificate of Correction
<input type="checkbox"/> Express Mail Label _____	<input type="checkbox"/> IDS Transmittal, IDS Statement PTO-1449 [No. of References _____]
<input type="checkbox"/> Other <u>Request for Correction of Filing Receipt, Return</u>	<input type="checkbox"/> Status Inquiry
<u>Retracted copy of Gov. &amp; App. of new PTO copy of Return Postcard 9/7/99</u>	<input type="checkbox"/> Check No. _____ in amount of \$ _____
Serial No.: <u>09/24,079</u>	Attorney Docket No.: <u>C5H6.002.0905</u>
Attorney/Secretary: <u>Bell</u>	Mailing Date: <u>10/6/99</u>

*He*  
*Jahm*

EXH. (5)

PATENT

ATTORNEY DOCKET NO. CSHL.002.04US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Michael Wigler *et al.***

Serial No.: **09/261,079**

Filed: **March 2, 1999**

For: **A REPRESENTATIONAL APPROACH  
TO DNA ANALYSIS**

)  
) Examiner: Campbell, E,  
)  
) Art Unit: 1653

**DOCKETED**  
10/13/99

) **TRANSMITTAL**

\_\_\_\_\_  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is:

- ☒ [X] A Communication
- ☒ [X] Revocation and Appointment of New Power of Attorney from prior Application
- ☒ [X] Return receipt postcard from prior application
- ☒ [X] Return postcard (postage prepaid).

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on 10/13/99

Signed

Jacob Zweg

Printed

JACOB ZWEG



[X] The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.

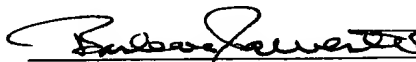
[X] Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.

[X] Any patent application processing fees under 37 C.F.R. § 1.17.

[X] A duplicate copy of this sheet is attached for accounting purposes.

Respectfully submitted,

Dated: October 7, 1999



Barbara Rae-Venter, Ph.D.

Reg. No. 32,750

Rae-Venter Law Group, P.C.  
P.O. Box 60039  
Palo Alto, CA 94306-0039  
Telephone (650) 328-4400  
Facsimile (650) 328-4477

BRV/jsz

PATENT

ATTORNEY DOCKET NO. CSHL.002.04US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Michael Wigler *et al.***

Serial No.: **09/261,079**

Filed: **March 2, 1999**

For: **A REPRESENTATIONAL APPROACH  
TO DNA ANALYSIS**

)  
) Examiner: Campbell, E,  
)  
) Art Unit: 1653  
)  
) **COMMUNICATION**  
)  
)  
)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

On October 6, 1999, we transmitted to you a Request for Correction of Filing Receipt. However, the Request transmitted was inadvertently filed incompletely. Enclosed, please find a completed Request for Correction of Filing Receipt, which includes (1) Request For Correction of Filing Receipt, (2) Copy of Incorrect Filing Receipt, (3) Copy of Revocation and Appointment of New Power of Attorney from prior application, (4) Copy of return receipt postcard from prior application.

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on 10/13/99

Signed

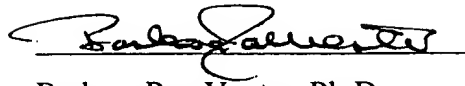
Jacob Zweig

Printed

JACOB ZWELIG

Respectfully submitted,

Date: October 7, 1997

A handwritten signature in cursive script, appearing to read "Barbara Rae-Venter", written over a horizontal line.

Barbara Rae-Venter, Ph.D.  
Reg. No. 32,750

Rae-Venter Law Group, P.C.  
P. O. Box 60039  
Palo Alto, CA 94306  
Telephone: (650) 328-4400  
Facsimile: (650) 328-4477  
BRV/jsz

PATENT

File  
ATTORNEY DOCKET NO. CSHL.002.04US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Michael Wigler et al.**

Serial No.: **09/261,079**

Filed: **March 2, 1999**

For: **A REPRESENTATIONAL APPROACH  
TO DNA ANALYSIS**

)  
) Examiner: Campbell, E.

) Art Unit: 1653  
)  
)

) **REQUEST FOR CORRECTION OF**  
) **FILING RECEIPT**  
)  
)

**Application Processing Division - Customer Correction Branch**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.53(a), (b) and 1.54(b), please issue a corrected filing receipt for this application. A copy of the incorrect receipt, with the changes noted thereon, is attached for reference. The corrected filing receipt should read:

**Rae-Venter Law Group, P.C.**

**P.O. Box 60039**

**Palo Alto, CA 94306**

Title:

**A Representational Approach to DNA Analysis**

The error was committed after submission of the application to the Patent and Trademark Office, as evidenced by the attached copy of (1) the Revocation and Appointment of New Power of Attorney from the prior application which was filed with the application papers in the above-identified application and (2) the return receipt postcard.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on 10/6/99.

Signature: Jacob Zweig


Printed Name: Jacob Zweig

Barbara Rae-Venter, Ph.D.  
October 4, 1999  
Page 2

No fee is believed due. However, if a fee is due, the Commissioner is hereby authorized to charge any underpayment of the fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to our Deposit Account 18-0020.

Respectfully submitted,

Dated: October 5, 1999

  
Barbara Rae-Venter, Ph.D.  
Reg. No. 32,750

Rae-Venter Law Group, P.C.  
P. O. Box 60039  
Palo Alto, CA 94306  
Telephone: (650) 328-4400  
Facsimile: (650) 328-4477

BRV/jsz  
Encl.

COPY

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trade Mark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/261,079	03/02/99	1635	\$380.00	CSHL.002.04U	4	18	4

~~FLEHR HOHBACH TEST~~  
~~ALBRITTON & HERBERT~~  
~~FOUR EMBARCADERO CENTER~~  
~~SUITE 3400~~  
~~SAN FRANCISCO CA 94111~~

RAE-VENTER LAW GROUP, P.C.  
P.O. BOX 60039  
PALO ALTO, CA 94306

RECEIVED

APR 02 1999

BARBARA RAE-VENTER

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

MICHAEL WIGLER, LLOYD HARBOR, NY; NIKOLAI LISITSYN,  
COLD SPRING HARBOR, NY.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CON OF 08/478,242 06/07/95 PAT 5,876,929  
AND A CIP OF 07/974,447 11/12/92 PAT 5,436,142

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 03/19/99 \*\* SMALL ENTITY \*\*  
TITLE

A REPRESENTATIONAL APPROACH TO DNA ANALYSIS

PRELIMINARY CLASS: 435

DOCKETED  
4/15/99 LCV

DATA ENTRY BY: MARTIN, DIANE

TEAM: 04 DATE: 03/19/99

COPY

The following has been received in the U.S. Patent Office on the date stamped hereon:

☒ Patent Application, 35 pps.  
specification, 6 pps claims,  
1 pps abstract  
☒ Drawings: 4 sheets of  
~~informal~~/formal  
☐ Rule 60 Application  
☐ Rule 62 Application (FWC)  
☐ ~~Response~~ Amendment  
☒ ~~Issue Fee~~ Transmittal  
☐ Notice of Appeal  
☐ Appeal Brief(3 copies)      pages  
☒ PTO Box # PATENT APPLICATION Status Inquiry  
☒ Express Mail Label E6082499276 ☒ Check No. 7825 in amount of \$380  
☒ Other COPY OF POA FROM PARENT CASE  
PROSECUTED COPY OF REV & AMT NEW POA FROM  
PARENT CASE  
Serial No.: NYA Attorney Docket No.: ES 41-002-0465  
Attorney/Secretary: BLU/MSC Mailing Date: 3-2-99 ~~EST~~ MSA

PATENT

ATTORNEY DOCKET NO. CSHL.002.02US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Michael Wigler *et al.*

Serial No.: 08/478,242

Filed: June 7, 1995

For: Methods for producing probes capable of  
distinguishing DNA from related sources

)

) Examiner: Campbell, E.

)

) Art Unit: 1807

)

)

) REVOCATION AND

) APPOINTMENT OF NEW POWER

) OF ATTORNEY

COPY

Box Issue Fee

Assistant Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

The undersigned, an authorized representative of Cold Spring Harbor Laboratory, having its principal place of business at One Bungtown Road, Cold Spring Harbor, NY 11724, being assignee of 100% interest of this application, the Assignment being recorded at Reel 6947, Frame 0347, hereby revokes all powers of attorney previously granted in this application and hereby appoints

Barbara Rae-Venter  
Bertram I. Rowland  
Ofer Matalon

Reg. No. 32,750  
Reg. No. 20,015  
Reg. No. 39,439

as attorneys with full power of substitution and revocation to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and hereby request that all correspondence regarding this application be sent to the firm of:

CERTIFICATE OF FIRST-CLASS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Issue Fee, Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on \_\_\_\_\_.(Date)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Printed Name)



Rae-Venter Law Group, P.C.

P. O. Box 60039  
Palo Alto, CA 94306

Telephone No.: 650-328-4400  
Facsimile No.: 650-328-4477

The undersigned has reviewed the chain of title and to the best of the undersigned's knowledge,  
title is in the assignee identified above.

Date: 2/24/99

By: John Maroney  
Title: John Maroney  
~~Assistant Administrative Director~~

Rae-Venter Law Group, P.C.  
P. O. Box 60039  
Palo Alto, CA 94306  
Telephone No. 650-328-4400  
Facsimile No. 650-328-4477

BRV/mnb

The following has been received in the U.S. Patent Office on the date stamped hereon:

- |   |   |
|---|---|
| <input type="checkbox"/> Patent Application, ___ pps.<br>specification, ___ pps claims,<br>___ pps abstract | <input type="checkbox"/> Executed/unexecuted Declaration/POA                                  |
| <input type="checkbox"/> Drawings: ___ sheets of<br>informal/formal   | <input type="checkbox"/> Assignment   |
| <input type="checkbox"/> Rule 60 Application  | <input type="checkbox"/> Verified Small Entity Statement                                      |
| <input type="checkbox"/> Rule 62 Application (FWC)  | <input type="checkbox"/> Rule 132 Declaration   |
| <input type="checkbox"/> Response/Amendment   | <input type="checkbox"/> 312 Amendment after Allowance  |
| <input checked="" type="checkbox"/> Issue Fee Transmittal (x2)  | <input type="checkbox"/> Notice to File Missing Parts of App.                                 |
| <input type="checkbox"/> Notice of Appeal   | <input type="checkbox"/> Information Disclosure Statement                                     |
| <input type="checkbox"/> Appeal Brief(3 copies) ___ pages   | <input type="checkbox"/> Petition for Extension of Time                                       |
| <input type="checkbox"/> PTO Box # _____  | <input type="checkbox"/> Certificate of Correction  |
| <input type="checkbox"/> Express Mail Label _____   | <input type="checkbox"/> IDS Transmittal, IDS Statement<br>PTO-1449 [No. of References _____] |
|   | <input type="checkbox"/> Status Inquiry   |
|   | <input type="checkbox"/> Check No. _____ in amount of \$ _____                                |

☒ Other COMMUNICATION, REF. FOR CORR. FORM. REPT., COPY OF INCORRECT  
FILE REPT., COPY OF REVOCATION APP. OF NEW FOR, COPY OF RETURN POLICY  
THRO 3/2/99, RETURN POLICIES

Serial No.: 07/201,037 Attorney Docket No.: CSHL.002.0405  
Attorney/Secretary: BOJ/jg Mailing Date: 10/19/99

*[Handwritten signature]*  
*[Handwritten initials]*



EXH. (6)  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
-----------------	-------------	----------------------	---------------------

09/261,079 03/02/99 WIGLER

M CSHL 002,040

EXAMINER

HM12/0216

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT  
FOUR EMBARCADERO CENTER  
SUITE 3400  
SAN FRANCISCO CA 94111

CAMPBELL, E

ART UNIT

PAPER NUMBER

1653

DATE MAILED:

02/16/00

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

File \_\_\_\_\_ Atty RFT  
Due Date Transferred to B. Rae Venter  
Type up Refs (2/9a AT)  
Client: Cold Spring Harbor Labs

DOCKETED 3/2/00 JSB  
DUE DATE 3/16/00  
FIRST EXTENSION 3/16/00  
SECOND EXTENSION 7/16/00  
THIRD EXTENSION 9  
FOURTH EXTENSION ↓  
LAST DAY 2/16/00

RECEIVED

FEB 29 2000

RAE-VENTER LAW GROUP, P.C.



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

EXH. 7

TIN. 002.0405

APPLICATION NO/ CONTROL NO. 03/02/99	FILING DATE 03/02/99	WISCONSIN	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	M	ATTORNEY DOCKET NO. 03/02/99
--	-------------------------	-----------	---	---	---------------------------------

HM32/0301

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT  
FOUR EMBARCADERO CENTER  
SUITE 3400  
SAN FRANCISCO CA 94111

RECEIVED

MAR 9 2001

INVENTOR GROUP, INC.

CAMPBELL EXAMINER

ART UNIT 1656 PAPER

03/01/01  
13

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

The communication filed on December 05, 2000 has been entered. Formal drawings have been submitted in this case and new formal drawings are not required.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Eggerton Campbell whose telephone number is (703) 308-3880. The examiner can normally be reached on Monday-Thursday from 8:00 AM to 4:30 PM (EST).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Margaret Parr can normally be reached on Monday-Thursday from 8:00 AM to 4:00 PM (EST) and whose telephone number is (703) 308-2454.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-0196.

Papers related to this application may be submitted to Group 180 by facsimile transmission. Papers should be faxed to Group 180 via the PTO Fax Center located in Crystal Mall I. Papers may be submitted Monday-Friday between 8:00 am and 4:45 pm (EST). The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). The CM1 Fax Center number is (703) 305-3014 or (703) 308-4242.

Eggerton A. Campbell  
Eggerton A. Campbell Ph.D.  
February 23, 2001  
Art Unit 1656

DOCKETED  
3/12/01  
AW

EGGERTON A. CAMPBELL  
PRIMARY EXAMINER

File \_\_\_\_\_ Atty \_\_\_\_\_  
Due Date Transferred to Barbara Rose Venter  
Type \_\_\_\_\_ Refs \_\_\_\_\_

EXH. 8

**Notice of Allowability**

Application No.

09/261,079

Examiner

Eggerton A Campbell

Applicant(s)

WIGLER ET AL.

Art Unit

1656

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance and Issue Fee Due or other appropriate communication will be mailed in due course.

1. ☐ This communication is responsive to 4/24/2000.
2. ☐ The allowed claim(s) is/are 27-32.
3. ☐ The drawings filed on \_\_\_\_\_ are acceptable.
4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).
  - a) ☐ All    b) ☐ Some\*    c) ☐ None    of the CERTIFIED copies of the priority documents have been
    1. ☐ received.
    2. ☐ received in Application No. (Series Code / Serial Number). \_\_\_\_\_
    3. ☐ received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_

5. ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. & 119(e).

A SHORTENED STATUTORY PERIOD FOR REPLY to comply with the requirements noted below is set to EXPIRE THREE MONTHS FROM THE "DATE MAILED" of this Office Action. Failure to timely comply will result in ABANDONMENT of this application. Extensions of time may be available under the provisions of 37 CFR 1.136(a).

6. ☐ Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. A SUBSTITUTE OATH OR DECLARATION IS REQUIRED.
7. ☒ Applicant MUST submit NEW FORMAL DRAWINGS
  - (a) ☒ because the originally filed drawings were declared by applicant to be informal.
  - (b) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
    - 1) ☐ hereto or 2) ☐ to Paper No. \_\_\_\_\_
  - (c) ☐ including changes required by the proposed drawing correction filed \_\_\_\_\_, which has been approved by the examiner.
  - (d) ☐ including changes required by the attached Examiner's Amendment / Comment.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the reverse side of the drawings. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftsperson.

8. ☐ Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Any reply to this letter should include, in the upper right hand corner, the APPLICATION NUMBER (SERIES CODE / SERIAL NUMBER). If applicant has received a Notice of Allowance and Issue Fee Due, the ISSUE BATCH NUMBER and DATE of the NOTICE OF ALLOWANCE should also be included.

**Attachment(s)**

- 1 ☐ Notice of References Cited (PTO-892)
- 3 ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 5 ☐ Information Disclosure Statements (PTO-1449), Paper No. \_\_\_\_\_
- 7 ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material

- 2 ☐ Notice of Informal Patent Application (PTO-152)
- 4 ☐ Interview Summary (PTO-413), Paper No. \_\_\_\_\_
- 6 ☐ Examiner's Amendment/Comment
- 8 ☐ Examiner's Statement of Reasons for Allowance
- 9 ☐ Other

RECEIVED

SEP 18 2000



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ID

NOTICE OF ALLOWANCE AND ISSUE FEE DUE

HM12/0829

~~FEDER HUBBACH TEST  
ALBRITTON & HERBERT  
FOUR EMBALMERS CENTER  
SUITE 3400  
SAN FRANCISCO CA 94111~~

File \_\_\_\_\_ Atty RFT  
Due Date \_\_\_\_\_  
Type \_\_\_\_\_ Refs \_\_\_\_\_

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
09/261,079	03/02/99	006	CAMPBELL, E	1656 08/29/00
First Named Applicant	WIGLER, 35 USC 154(b) term ext. = 0 Days.			

TITLE OF INVENTION REPRESENTATIONAL APPROACH TO DNA ANALYSIS

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
1	01H1.002.04U	435-091.200	R49 UTILITY	YES	\$605.00	11/29/00

**THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED.**

**THE ISSUE FEE MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED.**

**HOW TO RESPOND TO THIS NOTICE:**

- I. Review the SMALL ENTITY status shown above.  
If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:
  - A. If the status is changed, pay twice the amount of the FEE DUE shown above and notify the Patent and Trademark Office of the change in status, or
  - B. If the status is the same, pay the FEE DUE shown above.
- If the SMALL ENTITY is shown as NO:
  - A. Pay FEE DUE shown above, or
  - B. File verified statement of Small Entity Status before, or with, payment of 1/2 the FEE DUE shown above.
- II. Part B-Issue Fee Transmittal should be completed and returned to the Patent and Trademark Office (PTO) with your ISSUE FEE. Even if the ISSUE FEE has already been paid by charge to deposit account, Part B Issue Fee Transmittal should be completed and returned. If you are charging the ISSUE FEE to your deposit account, section "4b" of Part B-Issue Fee Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give application number and batch number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.**

YOUR COPY

## PART B—ISSUE FEE TRANSMITTAL

Complete and mail this form, together with appropriate fees, to: **Box ISSUE FEE**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

EXH. ⑨

**MAILING INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE. Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Issue Fee Receipt, the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

HM12/0829

**RAE-VENTER LAW GROUP**  
**P.O. BOX 60039**  
**Palo Alto, CA 944306**

Note: The certificate of mailing below can only be used for domestic mailings of the Issue Fee Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing.

**Certificate of Mailing**

I hereby certify that this Issue Fee Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above on the date indicated below.

Francis Guerra

(Depositor's name)

[Signature]

(Signature)

November 24, 2000

(Date)

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
09/261,079	03/02/99	006	CAMPBELL, E 1656	08/29/00
First Named Applicant	WIGLER,	35 USC 154(b) term ext. = 0 Days.		

**TITLE OF INVENTION** REPRESENTATIONAL APPROACH TO DNA ANALYSIS

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
1	CSHL.002.04U	435-091.200	R49	UTILITY	YES	\$605.00 \$620.00
						11/29/00

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Use of PTO form(s) and Customer Number are recommended, but not required.

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" indication form PTO/SB/47) attached.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Bertram L. Rowland2 Rae-Venter Law Group P.C.

3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)  
**PLEASE NOTE:** Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE **Cold Spring Harbor Laboratory**

(B) RESIDENCE: (CITY & STATE OR COUNTRY) **Cold Spring Harbor**

**New York**

Please check the appropriate assignee category indicated below (will not be printed on the patent)

☐ Individual ☒ corporation or other private group entity ☐ government

4a. The following fees are enclosed (make check payable to Commissioner of Patents and Trademarks):

☒ Issue Fee☒ Advance Order - # of Copies 10

4b. The following fees or deficiency in these fees should be charged to:

DEPOSIT ACCOUNT NUMBER 18-0020

(ENCLOSE AN EXTRA COPY OF THIS FORM)

☒ Issue Fee☒ Advance Order - # of Copies 10

The COMMISSIONER OF PATENTS AND TRADEMARKS IS requested to apply the Issue Fee to the application identified above.

(Authorized Signature)

(Date)

NOTE: The Issue Fee will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the Patent and Trademark Office.

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES AND THIS FORM TO: Box Issue Fee, Assistant Commissioner for Patents, Washington D.C. 20231

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMIT THIS FORM WITH FEE

Issue fee  
paid

The following has been received in the U.S. Patent Office on the date stamped hereon:

- |  |   |
|--|---|
| <input type="checkbox"/> Patent Application, ____ pps.<br>specification, ____ pps claims,<br>____ pps abstract | <input type="checkbox"/> Executed/unexecuted Declaration/POA                                    |
| <input type="checkbox"/> Drawings: ____ sheets of<br>informal / formal   | <input type="checkbox"/> Assignment   |
| <input type="checkbox"/> Application Transmittal   | <input type="checkbox"/> Verified Small Entity Statement  |
| <input checked="" type="checkbox"/> Return receipt postcard  | <input type="checkbox"/> Rule 132 Declaration   |
| <input type="checkbox"/> Response / Amendment  | <input type="checkbox"/> 312 Amendment after Allowance  |
| <input checked="" type="checkbox"/> Issue Fee Transmittal  | <input type="checkbox"/> Notice to File Missing Parts of App.                                   |
| <input type="checkbox"/> Notice of Appeal  | <input type="checkbox"/> Information Disclosure Statement                                       |
| <input type="checkbox"/> Appeal Brief(3 copies) ____ pages   | <input type="checkbox"/> Petition for Extension of Time   |
| <input type="checkbox"/> PTO Box# _____  | <input type="checkbox"/> Certificate of Correction  |
| <input type="checkbox"/> Express Mail Label _____  | <input type="checkbox"/> IDS Transmittal, IDS Statement<br>PTO-1449 [ No. of References _____ ] |
| <input checked="" type="checkbox"/> Other <u>PTOL - 856</u>  | <input type="checkbox"/> Status Inquiry   |
|  | <input checked="" type="checkbox"/> Check No. <u>215</u> in amount of \$ <u>650-</u>            |

Serial No.: 09/261,079 Attorney Docket No.: JUN. 002.0445  
Attorney/Secretary: enlym Mailing Date: November 29, 2000

JLW



EXH(10)

PATENT

ATTORNEY DOCKET NO. CSHL.002.04US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: **Michael Wigler *et al.***

Serial No.: **09/261,079**

Filed: **March 2, 1999**

For: **A REPRESENTATIONAL APPROACH  
TO DNA ANALYSIS**

)  
) Examiner: Campbell, E,  
)  
) Art Unit: 1653  
)  
) **TRANSMITTAL**  
)  
)  
)  
)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is:

- ☒ [X] A Communication
- ☒ [X] Return postcard (postage prepaid).
- ☒ [X] A duplicate copy of this sheet is attached for accounting purposes.

**CERTIFICATE OF FIRST CLASS MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on 11/29/00

Signed


Barbara Rae-Venter

Printed

Barbara Rae-Venter

Respectfully submitted,

Dated: November 29, 2000

  
\_\_\_\_\_  
Barbara Rae-Venter, Ph.D.  
Reg. No. 32,750

Rae-Venter Law Group, P.C.  
P.O. Box 60039  
Palo Alto, CA 94306-0039  
Telephone (650) 328-4400  
Facsimile (650) 328-4477

BRV/LKT

CSHL.002.04US.trans.nov2900.doc

PATENT

ATTORNEY DOCKET NO. CSHL.002.04US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: **Michael Wigler *et al.*** )  
 ) Examiner: Campbell, E,  
Serial No.: **09/261,079** )  
 ) Art Unit: 1653  
Filed: **March 2, 1999** )  
 ) **COMMUNICATION**  
For: **A REPRESENTATIONAL APPROACH** )  
 **TO DNA ANALYSIS** )  
 )  
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 )  
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Assistant Commissioner for Patents  
Washington, D.C. 20231

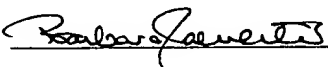
Sir:

This is in response to Item 7 of the notice of allowability mailed August 29, 2000 indicating that "Applicant must submit new formal drawings because the originally filed drawings were declared by applicant to be informal."

The applicants request that the requirement for new formal drawings be withdrawn because applicants did in fact indicate the drawings were formal at the time of filing of the subject application. As evidence, attached hereto is a copy of the request for filing a patent application that indicates that "4 sheets of Formal Drawings" are included in the application.

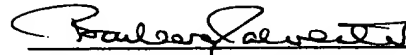
**CERTIFICATE OF FIRST CLASS MAILING**

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Signed   
Printed Barbara Bar-Venter

Respectfully submitted,

Dated: November 29, 2000



Barbara Rae-Venter, Ph.D.  
Reg. No. 32,750

Rae-Venter Law Group, P.C.  
P.O. Box 60039  
Palo Alto, CA 94306-0039  
Telephone (650) 328-4400  
Facsimile (650) 328-4477

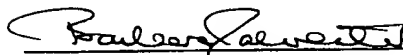
Encl: Copy of request for patent application.

BRV/LKT

CSHL.002.04US.comm.111600.00.doc

Respectfully submitted,

Dated: November 29, 2000



Barbara Rae-Venter, Ph.D.  
Reg. No. 32,750

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Palo Alto, CA 94306-0039  
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Facsimile (650) 328-4477

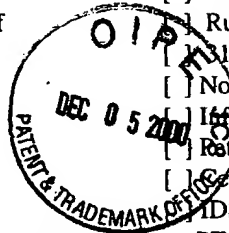
Encl: Copy of request for patent application.

BRV/LKT

CSHL.002.04US.comm.111600.00.doc

The following has been received in the U.S. Patent Office on the date stamped hereon:

- |  |   |
|--|---|
| <input type="checkbox"/> Patent Application, ____ pps.<br>specification, ____ pps claims,<br>____ pps abstract | <input type="checkbox"/> Executed/unexecuted Declaration/POA  |
| <input type="checkbox"/> Drawings: ____ sheets of<br>informal / formal   | <input type="checkbox"/> Assignment                           |
| <input type="checkbox"/> Application Transmittal   | <input type="checkbox"/> Verified Small Entity Statement      |
| <input checked="" type="checkbox"/> Return receipt postcard  | <input type="checkbox"/> Rule 132 Declaration                 |
| <input type="checkbox"/> Response / Amendment  | <input type="checkbox"/> 12 Amendment after Allowance         |
| <input checked="" type="checkbox"/> <del>Issue Fee</del> Transmittal   | <input type="checkbox"/> Notice to File Missing Parts of App. |
| <input type="checkbox"/> Notice of Appeal  | <input type="checkbox"/> Information Disclosure Statement     |
| <input type="checkbox"/> Appeal Brief(3 copies) ____ pages   | <input type="checkbox"/> Petition for Extension of Time       |
| <input type="checkbox"/> PTO Box# _____  | <input type="checkbox"/> Certificate of Correction            |
| <input type="checkbox"/> Express Mail Label _____  | <input type="checkbox"/> IDS Transmittal, IDS Statement       |
| <input checked="" type="checkbox"/> Other <u>Communication</u>   | PTO-1449 [ No. of References ____ ]                           |
|  | <input type="checkbox"/> Status Inquiry                       |
|  | <input type="checkbox"/> Check No. ____ in amount of \$ ____  |



Serial No.: 09/261,079 Attorney Docket No.: mm 002,0425  
Attorney/Secretary: mm/jm Mailing Date: 11/29/00

**DOCKETED**  
7/3/01 BNU



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trade Mark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

EXH. (11)

Tm. 002.0405

APPLICATION NO./ CONTROL NO.	FILING DATE	WID	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	M	ATTORNEY DOCKET NO.
1261, 079	03/02/99				CSPL 002,040

HM32/0301

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT  
FOUR EMBARCADERO CENTER  
SUITE 3400  
SAN FRANCISCO CA 94111

RECEIVED

MAR 9 2001

INVENTOR LAW GROUP, P.C.

CAMPBELL EXAMINER

ART UNIT 1656 PAPER

03/01/01  
13

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

The communication filed on December 05, 2000 has been entered. Formal drawings have been submitted in this case and new formal drawings are not required.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Egerton Campbell whose telephone number is (703) 308-3880. The examiner can normally be reached on Monday-Thursday from 8:00 AM to 4:30 PM (EST).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Margaret Parr can normally be reached on Monday-Thursday from 8:00 AM to 4:00 PM (EST) and whose telephone number is (703) 308-2454.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-0196.

Papers related to this application may be submitted to Group 180 by facsimile transmission. Papers should be faxed to Group 180 via the PTO Fax Center located in Crystal Mall I. Papers may be submitted Monday-Friday between 8:00 am and 4:45 pm (EST). The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). The CM1 Fax Center number is (703) 305-3014 or (703) 308-4242.

*Egerton A. Campbell*  
Egerton A. Campbell Ph.D.  
February 23, 2001  
Art Unit 1656

DOCKETED  
3/12/01  
AKW

EGGERTON A. CAMPBELL  
PRIMARY EXAMINER

File \_\_\_\_\_ Atty \_\_\_\_\_  
Due Date Transferred to Barbara Rose Venter  
Type \_\_\_\_\_ Refs \_\_\_\_\_

NOTES.

No issue date or patent # yet



# TELEPHONE REPORT

DATE: 8/1/01	TIME: Noon
PERSON CALLED: USPTO	CALLER'S INITIALS: JL
TITLE:	
COMPANY:	
CLIENT/MATTER NUMBER: TWIN.002.05US	

NOTES:

Called USPTO to determine issue date +  
patent no. - still not in database

# TELEPHONE REPORT

DATE: July 26, 2001	TIME: 9:00 am
PERSON CALLED: USPTO PAC	CALLER'S INITIALS: JL
TITLE:	
COMPANY: USPTO	
CLIENT/MATTER NUMBER: TWIN.002.0503	

**NOTES:**

NOTES.

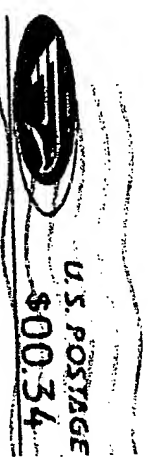
Tried to determine if there was an issue date yet; there isn't, and no patent no. either

EXH. (13)

FLEHR  
HOHBACH  
TEST  
ALBRITTON  
& HERBERT  
LLP

Intellectual Property Law

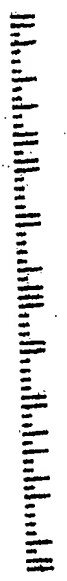
4 Embarcadero Center  
Suite 3400  
San Francisco, CA  
94111-4187



SAN FRANCISCO CA  
AUG 22 2001 00340 050803  
FP0593346 JMB01 03F340FS

Rae-Venter Law Group  
260 Sheridan Avenue, Suite 440  
Palo Alto, California 94306

94306-2006 33



ISSUE NOTIFICATION



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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Washington, D.C. 20231

APPLICATION NUMBER	PATENT NUMBER	ISSUE DATE	ATTORNEY DOCKET NO.
09/261,079	6277606	08/21/01	CSHL.002.04U

7606  
FLEHR HOHBACH TEST  
ALBRITTON & HERBERT  
FOUR EMBARCADERO CENTER  
SUITE 3400  
SAN FRANCISCO CA 94111

7707

APPLICANT(S) MICHAEL WIGLER, LLOYD HARBOR NEW YORK; NIKOLAI  
LISITSYN, COLD SPRING HARNEW YORK

File \_\_\_\_\_ Atty RFT  
Due Date Cold Spring Harbor  
transferred to B. Res-Verter  
Type \_\_\_\_\_ Refs \_\_\_\_\_

FLEIR, HOEBAUGH, JEST  
ALBRITTON & HERBERT

2001 AUG 13 PM 10:53

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